PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No.: 109878.132)

cant(s):

Ferrari, et al.

No.:

10/657,426

September 8, 2003

For:

METHOD AND SYSTEM FOR INTERPRETING MULTIPLE-TERM

QUERIES

Group Art Unit:

2171

Examiner:

Not Yet Assigned

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450 or the date set forth below.

7.16.04

Date

Amanda Ripley

TRANSMITTAL LETTER

Commissioner:

Enclosed for filing in the above-identified patent application are the following documents:

- 1.) Information Disclosure Statement;
- 2.) Form PTO-1449, including 36 documents; and
- Return Postcard. 3.)

Please charge any deficiency or credit any overpayment in connection with this matter to Deposit account No. 08-0219.

Respectfully submitted,

Date: 7-16-2004

Donald R. Steinberg

Registration No. 37,241

Attorney for Applicants

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Amanda Ribley

INFORMATION DISCLOSURE STATEMENT

Commissioner:

Applicants and their attorney are aware of the following publications listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration.

Pursuant to 37 C.F.R. §1.97 (b)(3), Applicant hereby makes of record the documents listed on the attached Form PTO-1449.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In this regard, it is requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office Communication.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to patentability or that the listed documents are prior art. If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

U.S.S.N. 10/657,426 Ferrari, et al.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper; therefore, no fees are believed to be due.

The Commissioner, however, is hereby authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. <u>08-0219</u>.

Respectfully submitted,

Date: 7-16-200 9

Donald R. Steinberg Registration No. 37,241 Attorney for Applicants

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WILMER CUTLER PICKERING HALE AND DORR LLP SUBT. FORM PTO-1449

INFORMATION DISCLOSURE IN AN APPLICATION

USE SEVERAL SHEETS IF NECESSARY)

1

OF

3

SHEET

109878.132 10/657,426 APPLICANT Ferrari et al. FILING DATE **GROUP ART UNIT**

September 8, 2003

DOCKET NUMBER

2171

APPLICATION NUMBER

		U	.S. Patent Document	S		
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,418,948	5/1995	Turtle	395	600	
	5,644,740	7/1997	Kiuchi	395	357	
	5,715,444	2/1998	Danish et al.	395	604	
	5,768,578	2/1995	Kirk et al.	395	611	
	5,983,219	11/1999	Danish et al.	707	3	
	5,983,220	11/1999	Schmitt	707	5	
	6,094,650	7/2000	Stoffel et al.	707	3	
	6,167,397	12/2000	Jacobson et al.	707	5	
	6,260,008	7/2001	Sanfilippo	704	9	
	6,356,899	3/2002	Chakrabarti et al.	707	5	
	6,418,429	7/2002	Borovoy et al.	707	3	
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	6,480,843	11/2002	Li	707	5	
	6,483,523	11/2002	Feng	345	745	

Foreign Patent Documents								
EXAMINER	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRAN	ISLATION	
INITIAL	NUMBER					YES	· NO	
	0 694 829 A1	1/1996	EP					
	0 694 829 B1	5/2002	EP					

		Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)
	A1	Agosti, M., et al., "Issues of Data Modelling in Information Retrieval," <i>Electronic Publishing</i> , Vol. 4 (4) pp. 219-237 (1991).
6	A2	Allen, R.B., "Two Digital Library Interfaces That Exploit Hierarchical Structure," <i>Electronic Publishing</i> , (1995).
*	A3	Allen, R.B., "Retrieval From Facet Spaces," <i>Electronic Publishing</i> , Vol. 8 (2&3), pp. 247-257 (1995).

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation is considered, whether or not citation	on is in conformance with MPEP § 609: Draw Line through citation if not

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conformance and not considered. Include copy with next communication to applicant.

		SUBT	E AND DORR LLP . FORM PTO-1449	DOCKET NUMBER 109878.132	APPLICATION NUMBER 10/657,426	
INFO	ORMATION I IN AN APPL			Ferrari et al.		
(USE SE	EVERAL SHEE	TS IF NE	ECESSARY)	FILING DATE	GROUP ART UNIT	
SHEET 2 OF 3				September 8, 2003	2171	

		U	S. Patent Documen	ts		
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2001/0044758	11/2001	Talib et al.	705	27	
	2001/0044837	11/2001	Talib et al.	709	219	
	2001/0047353	11/2001	Talib et al.	707	3	
	2001/0049674	12/2001	Talib et al.	707	1	
	2001/0049677	12/2001	Talib et al.	707	3	
	2002/0091696	7/2002	Craft et al.	7.07	10	
	6,035,294	3/2000	Fish	707	2	

Foreign Patent Documents							
EXAMINER	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRAN	ISLATION
INITIAL	NUMBER					YES	NO
	WO 00/36529	6/2000	PCT				
	WO 01/67300	9/2001	PCT				,

		Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)
	A4	Baeza-Yates, et al., "New Approaches to Information Management: Attribute-Centric Data Systems" Proceedings Seventh International Symposium on String Processing and Information Retrieval, pp. 17-27 (2000).
•	A5	Hearst, M., et al., "Cat-a Cone: An Interactive Interface for Specifying Searches and Viewing Retrieval Results Using A Large Category Hierarchy," Ann. Int. ACM-SIGIR Conf. On Res. And Dev. In Information Retrieval, pp. 270-279 (1993).
<	A6	Pedersen, G.S., "A Browser For Bibliographic Information Retrieval Based On An Application Of Lattice Theory," <i>Proc. of the Ann. Int. SCM SIGIR Conference on Res. And Dev. In Information Retrieval</i> , pp. 270-279 (1993).
	A7	Pollitt, et al., "HIBROWSE For Bibliographic Databases," <i>Journal of Information Science</i> , Vol. 20, (6), pp. 413-426 (1994).
<	A8	Pollitt, et al., "View-Based Searching Systems- Progress Towards Effective Disintermediation,"

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citat conformance and not considered. Include copy with next commun	on is in conformance with MPEP § 609: Draw Line through citation if not ication to applicant.

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WILME	R CUTLER PICKE		E AND DORR LLP . FORM PTO-1449	DOCKET NUMBER 109878.132	APPLICATION NUMBER 10/657,426	
INFO	ORMATION I IN AN APPL			APPLICANT Ferrari et al.		
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		Online Information Meeting Proceedings, pp. 433-445 (1996).
A	A9	Story, G. A., et al., "The RightPages Image-Based Electronic Library for Alerting and Browsing," <i>Computer</i> , Vol. 25(9), pp. 17-25 (1992).
ĝŧ.	A10	Yahoo!, Copyright 1999 Yahoo! Inc., http://web.archive.org/web/19991116151212/http:www.yahoo.com/
×	A11	PriceSCAN.com, Your Unbiased Guide to the Lowest Price on Books, Computers, Electronic, Copyright 1997-1999, http://web.archive.org/web/19991117123352/http://www.pricescan.com/ , pages 1-8

EXAMINER	DATE CONSIDERED	-

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